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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>		<b>Attny. Docket No. 2003B014/2</b>	
<b>In Re Application of: Stanley Wai-Yan Chow et al.</b>			
<b>U.S. Serial No. 10/693,424</b>	<b>Filing Date 10/24/03</b>	<b>Examiner Unassigned</b>	<b>Group Art Unit Unassigned</b>



Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

### 37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

### 37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

### 37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed, where required.

☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are ☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

June 6 2004  
Date

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FORM - 1449

SHEET 1 OF 5

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

2003B014/2

SERIAL NO.

10/693,424

APPLICANT

Stanley Wai-Yan Chow et al.

FILING DATE

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GROUP

Unassigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,670,043	06/13/72	Kubicek et al.	260	683 D	
	AB	4,343,935	08/10/82	Laidler et al.	542	414	
	AC	4,590,288	05/20/86	Klemann	556	112	
	AD	4,800,224	01/24/89	Kaschig	546	12	
	AE	4,871,705	10/03/89	Hoel	502	117	
	AF	4,891,412	01/02/90	Kaschig	526	265	
	AG	4,954,592	09/04/90	Kaschig	526	265	
	AH	4,983,739	01/08/91	Kaschig	546	268	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI	EP 0 496 193 A1	07/29/92	Europe	C08F	30/04		X
	AJ	EP 0 426 646 A1	05/08/89	Europe	C08F	10/00		
	AK	CN 1352203 A	06/05/02	China	C08F	10/00	X	
	AL	CN 1371924 A	10/02/02	China	C08F	10/02	X	
	AM	WO 01 92342 A2	12/06/01	PCT	C08F	4/00		

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AN	Abstract, EP0496193 B1, (published 07/29/92)
	AO	Gasparini et al, "Synthesis of Ar-BIAN Ligands (Ar-BIAN = Bis(aryl)acenaphthenequinonediimine) Having Strong Electron-Withdrawing Substituents on the Aryl Rings and Their Relative Coordination Strength toward Palladium(0) and -(II) Complexes", Organometallics 2002, 21, pp. 2950-2957

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant



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	AA	5,336,795	08/09/94	Lisowsky	556	56	
	AB	5,393,903	02/28/95	Gratzel et al	556	137	
	AC	5,504,232	04/02/96	Winter et al.	556	7	
	AD	5,543,373	08/06/96	Winter et al.	502	103	
	AE	5,629,254	05/13/98	Fukuoka et al.	502	117	
	AF	5,631,391	05/20/97	Canich	556	11	
	AG	5,641,871	06/24/97	Rohde et al.	534	15	
	AH	5,654,454	08/05/97	Peifer et al.	556	11	
	AI	5,679,816	10/21/97	Timmers et al.	556	53	
	AJ	5,696,045	12/09/97	Winter et al.	502	113	
	AK	5,714,425	02/03/98	Chabrand et al.	502	117	
	AL	5,714,427	02/03/98	Winter et al.	502	117	
	AM	5,739,366	04/14/98	Imuta et al.	556	11	
	AN	5,741,868	04/21/98	Winter et al.	526	127	
	AO	5,763,542	06/09/98	Winter et al.	526	127	
	AP	5,831,106	11/03/98	Langhauser et al.	512	11	
	AQ	5,847,176	12/08/98	Sullivan	556	11	
	AR	5,880,056	03/09/99	Tsutsui et al.	502	103	

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AS	Abstract - Liu, Chang-Kun et al., "Allyl-containing Iron Post-metallocene Catalyst for Ethylene Polymerization", Changchun Institute of Applied Chemistry, Chinese Academy of Science, Changchun, 130022, Peoples Republic of China, Gaodeng Xuexiao Huaxue Xuebao (2001), 22(7), pp. 1233-1236, and translation of article in Chinese
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U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	5,892,077	04/06/99	Resconi et al.	556	11		
	AB	6,103,946	08/15/00	Brookhart, III et al.	585	523		
	AC	6,107,501	08/22/00	Shamshoum et al.	556	11		
	AD	6,133,387	10/17/00	Xu et al.	526	172		
	AE	6,143,911	11/07/00	Fujita et al.	556	11		
	AF	6,150,482	11/21/00	Brookhart et al.	526	161		
	AG	6,150,544	11/21/00	Seki et al.	556	27		
	AH	6,153,549	11/28/00	Hubscher et al.	502	103		
	AI	6,191,294	02/20/01	Resconi et al.	556	11		
	AJ	6,218,558	04/17/01	Kato et al.	556	12		
	AK	6,232,484	05/15/01	Schaverien et al.	556	53		
	AL	6,268,518	07/31/01	Resconi et al.	556	43		
	AM	6,306,791	10/23/01	Schaverien et al.	502	117		
	AN	6,319,874	11/20/01	Winter et al.	502	152		
	AO	6,352,953	03/05/02	Seki et al.	502	103		
	AP	6,365,690	04/02/02	Lenges	526	172		
	AQ	6,369,254	04/09/02	Resconi et al.	556	11		
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	AR	Liu, Changkun et al., "Polymer-incorporated Iron Catalysts for Ethylene Polymerization - A View Approach to Immobilize Iron Olefin Catalysts on Polystyrene Chains", New Journal of Chemistry (2002), 26(10), pp. 1485-1489, XP008017403						
EXAMINER					DATE CONSIDERED			
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	AA	RE36,103E	02/16/99	Winter et al.	526	160		
	AB	RE37,208E	06/05/01	Winter et al.	526	348		
	AC	RE37,384E	09/18/01	Winter et al.	502	117		
	AD	RE37,573 E	03/05/02	Winter et al.	556	7		
	AE	2002/082162	06/27/02	Li et al.	502	167		
	AF							
	AG							
	AH							
	AI							
	AJ							
<b>OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)</b>								
	AK	Liu, Changkun et al., "Preparation of Post-metallocene Macromolecular Catalyst for Olefin Polymerization", retrieved from STN Database accession No. 137:33685 XP002241590 (Chemical Abstracts Service, Columbus, Ohio); Changchun Institute of Applied Chemistry, Chinese Academy of Sciences, Peoples Republic of China (STN Abstract)						
	AL	Jin, Guoxin et al., "Preparation of Unsymmetric Post-metallocene Macromolecular Catalysts for Olefin Polymerization", retrieved from STN Database accession No. 137:33686 XP002241591 (Chemical Abstracts Service, Columbus, Ohio); Changchun Institute of Applied Chemistry, Chinese Academy of Sciences, Peoples Republic of China						
	AM	Liu, Chang-Kun et al., "Polymerized Unsymmetrical Iron Post-Metallocene Catalyst for Ethylene Polymerization" retrieved from STN Database accession No. 136:325871 XP002241592, (Chemical Abstracts Service, Columbus, Ohio); Changchun Institute of Applied Chemistry, Chinese Academy of Sciences, Peoples Republic of China						
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							YES	NO
	AA	CN 1,257,875 A	06/28/00	CHINA	C08F	4/642		X
	AB	CN 1,294,137 A	05/09/01	CHINA	C08F	4/642		X
	AC	CN 1,306,012 A	08/01/01	CHINA	C08F	10/00	X	
	AD	CN 1,306,013 A	08/01/01	CHINA	C08F	10/00		X
	AE	CN 1,328,879 A	01/02/02	CHINA	B01J	31/22	X	
	AF	CN 1,352,204 A	06/10/01	CHINA	C08F	10/00	X	
	AG	CN 1,371,923 A	10/02/02	CHINA	C08F	10/02		X
	AH							
	AI							
	AJ							
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)								
	AK	Jin Guoxin, et al., "Non-metallocene" olefin polymerization catalyst, Chinese Journal of Applied Chemistry, Vol. 16, No. 1, Feb. 1999						
	AL	Shen Haoyu, et al., New Type Late Transition Metal Catalysts for Olefin Polymerization - Nickel-based Olefin Polymerization Catalyst, Progress in Chemistry, January 2003, pp. 60-66						
	AM							
	AN							
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